

CERTIFICATE OF MAILING

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Drew Herndon

DH Herndon



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TC 2700 MAIL ROOM

PATENT

Attorney Docket No. 22698-702

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application

Inventor(s): Leslie Cheong et al.

Application No.: 09/579,787

Filed: May 26, 2000

Title Method and Apparatus for Surrogate Control of
Network-Based Electronic Transactions

PATENT APPLICATION

Art Unit: 2757

Examiner: Unknown

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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents
Washington, D.C. 20231

Sir:

Listed below or on an attached Form PTO-1449 is information known to applicant(s). A copy of each listed publication and U.S. and foreign patent, except for pending U.S. applications, is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

- ☒ This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):
- ☐ (1) It is being filed within 3 months of the application filing date
-- OR --
- ☐ (2) It is being filed within 3 months of entry of a national stage
-- OR --
- ☒ (3) It is being filed before the mail date of the first Office Action on the merits.
- ☐ 37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:
- ☐ a certification as specified in §1.97(e) is provided below; **or**
- ☐ a fee of \$240.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☐ 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee, then:
- A. a certification as specified in §1.97(e) is completed below; and
- B. a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; **and**
- C. a fee of \$130.00 as set forth in §1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☒ *Fee Authorization.* The Commissioner is hereby authorized to charge the above-referenced fees of \$0.00 and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 23-2415 (Docket No. 22698-702).

Respectfully submitted,

WILSON SONSINI GOODRICH & ROSATI

Dated: 8-21-00

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INFORMATION DISCLOSURE CITATION PTO-1449		ATTY. DOCKET NO. 22698-702		SERIAL NO. 09/579,787 RECEIVED AUG 24 2000			
		APPLICANT Cheong et al.					
		FILING DATE May 26, 2000		TC 2700 MAIL ROOM GROUP 2757			
U.S. PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
gsl	4,454,414	6-12-84	Benton	235	379	4-5-82	
gsl	4,654,793	3-31-87	Elrod	364	401	10-15-84	
gsl	4,725,719	2-16-88	Oncken et al.	235	487	7-21-86	
gsl	4,837,422	6-6-89	Dethloff et al.	235	380	9-8-87	
gsl	4,859,837	8-22-89	Halpern	235	380	9-15-88	
gsl	4,906,828	3-6-90	Halpern	235	379	5-31-88	
gsl	4,968,873	11-6-90	Dethloff et al.	235	380	2-27-89	
gsl	5,287,269	2-15-94	Dorrough et al.	364	408	7-9-90	
gsl	5,321,241	6-14-94	Craine	235	380	3-19-93	
gsl	5,326,960	7-5-94	Tannenbaum	235	380	11-25-92	
gsl	5,350,906	9-27-94	Brody et al.	235	380	11-25-92	
gsl	5,408,082	4-18-95	Takagi et al.	235	380	8-12-93	
gsl	5,450,477	9-12-95	Amarant et al.	379	380	12-27-93	
FOREIGN PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
						<input type="checkbox"/>	<input type="checkbox"/>
						<input type="checkbox"/>	<input type="checkbox"/>
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
gsl	"Sinton, P., "Visa Wants To Kill Cash. It hopes "smart cards" will become the payment method of choice", <i>San Francisco Chronicle</i> , October 11, 1995, page B1.						
	Heady, R., "Brokers Compete With Lenders", <i>The Denver Post</i> , November 19, 1995, page J-14.						
gsl	Garfinkel, S., "Companies Rush To Say "Buy-Buy" Over Net", <i>San Jose Mercury News</i> , October 1, 1996.						
	Landbert, M., "Verifone Wants To Plug In To Cash", <i>San Jose Mercury News</i> , October 1, 1996.						
gsl	Swenson, J., "Filing Expenses Via American Express", <i>Information Week</i> , July 1, 1996, page 103.						
	Gianturco, M., "Digital Cash", <i>Forbes</i> , August 14, 1995, page 164.						
EXAMINER			DATE CONSIDERED 12-1-02				

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.